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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/712,855 | 11/14/2000 | Cadir Batista Lee | 09595-004002 |

CONFIRMATION NO. 3728

FORMALITIES LETTER



OC000000005942949

Richard A Dunning Jr
Fish & Richardson P C
2200 Sand Hill Rd
Suite 100
Menlo Park, CA 94025

Date Mailed: 04/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

07/02/2001 SSALEEKU 00000030 09712855

01 FC:103
01 FC:10218.40 CR
480.00 UP
copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/04/2001 HUUONG1 00000029 09712855

01 FC:105

130.00 OP

07/02/2001 SSALEEKU 0013125100
09712855
01 FC:104
\$502.00 CR



Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Cadir Batista Lee, et al.
Serial No. : 09/712,855
Filed : November 14, 2000
Title : SOFTWARE VAULT

Art Unit : 2152
Examiner : Unknown

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed April 6, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Check in payment of \$130 surcharge for filing the declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 as filed with the parent application -- USSN 09/205,418, filed 12/2/1998;
- ☒ copy of Notice to File Missing Parts of Nonprovisional Application;
- ☒ Other: Postcard

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 5-29-01

KK Lutton
Katherine Kelly Lutton
Reg. No. 46,333

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL
I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 29, 2001
Date of Deposit Sally C. Zumba
Sally C. Zumba